

National Pollution Funds Center Financial Management Training

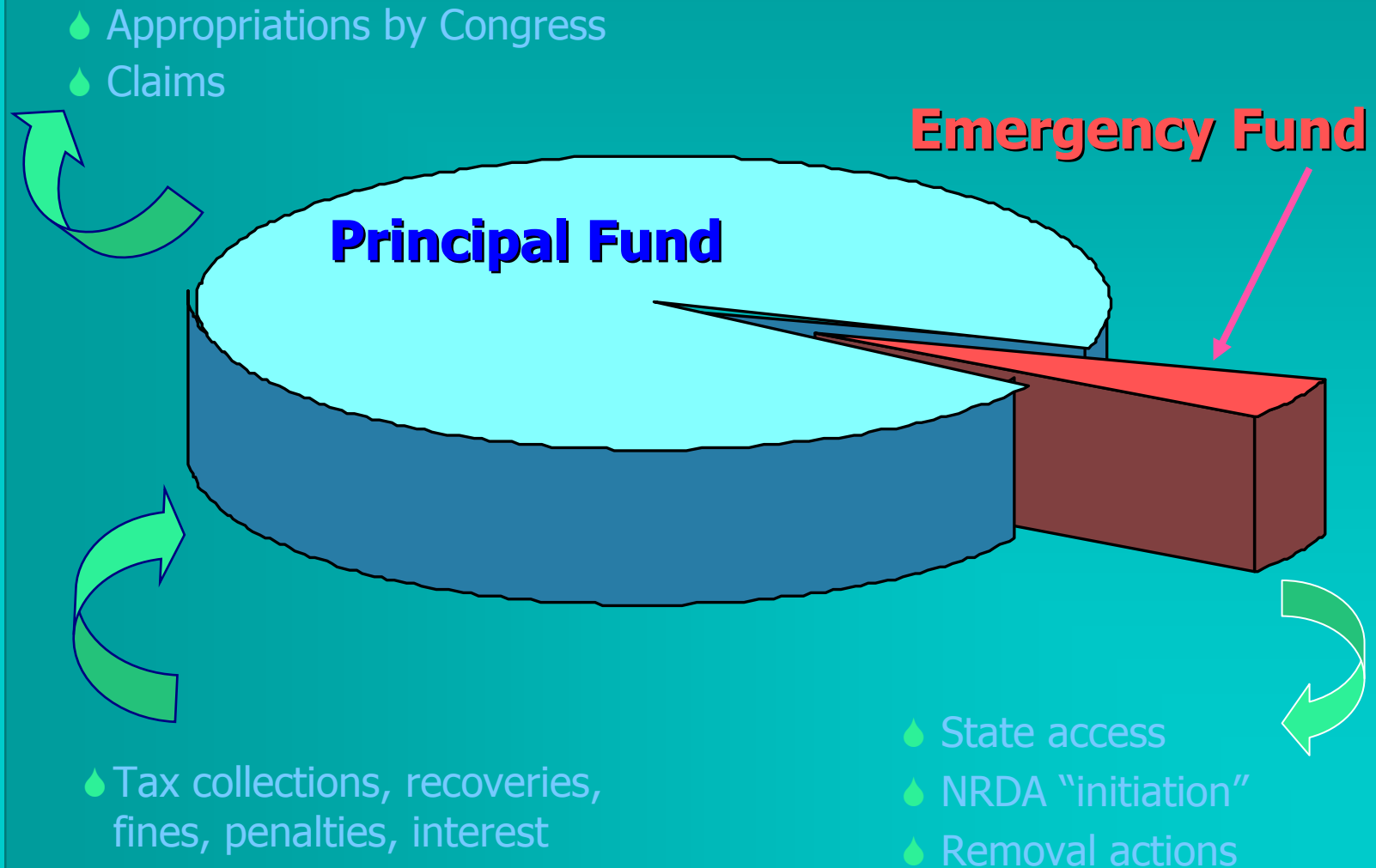
NPFC Financial Management

Training (www.uscg.mil/hq/npfc)



Oil Spill Liability Trust Fund (OSLTF)

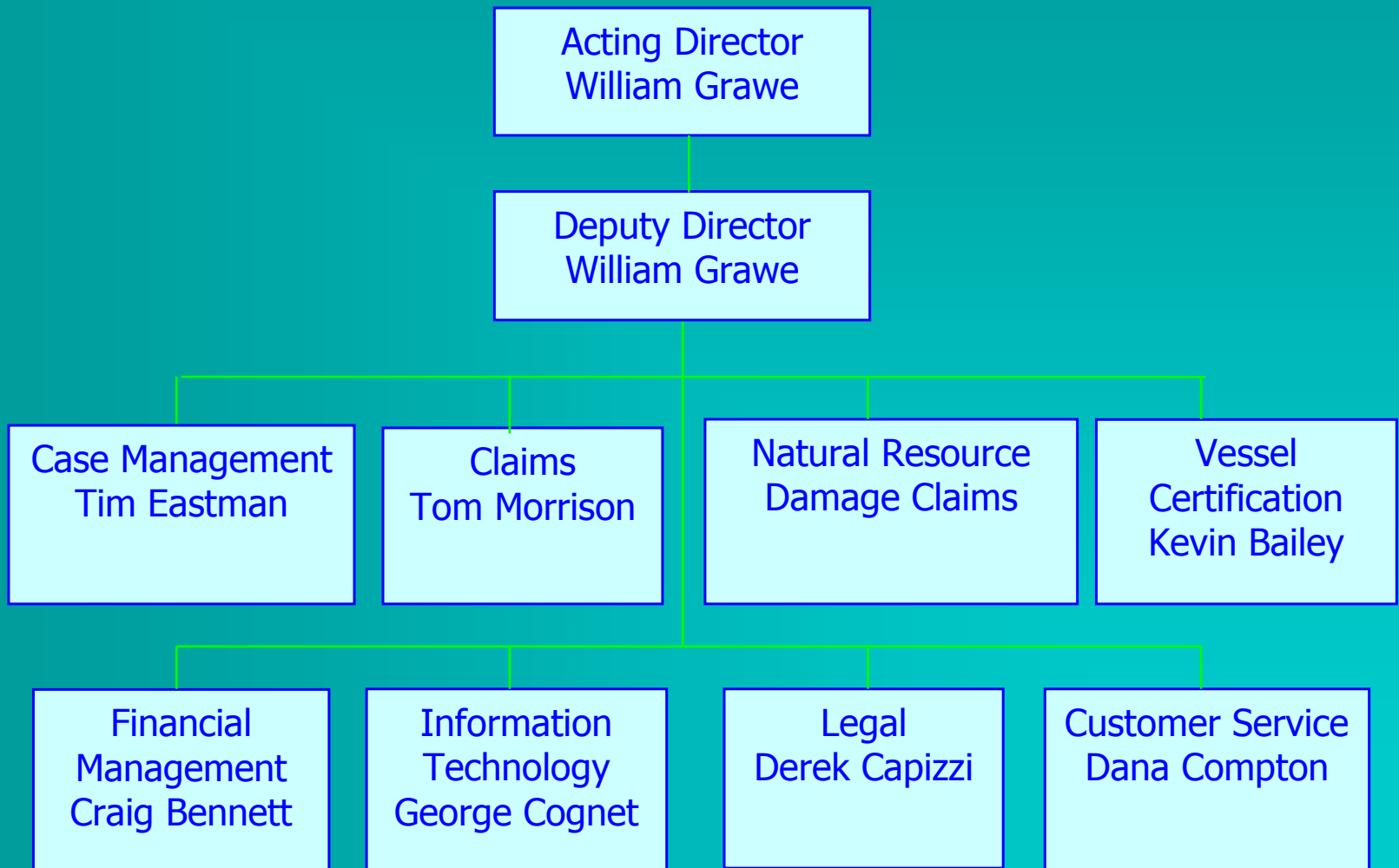
\$2.7 Billion Dollar Trust Fund



NPFC Organizational Structure

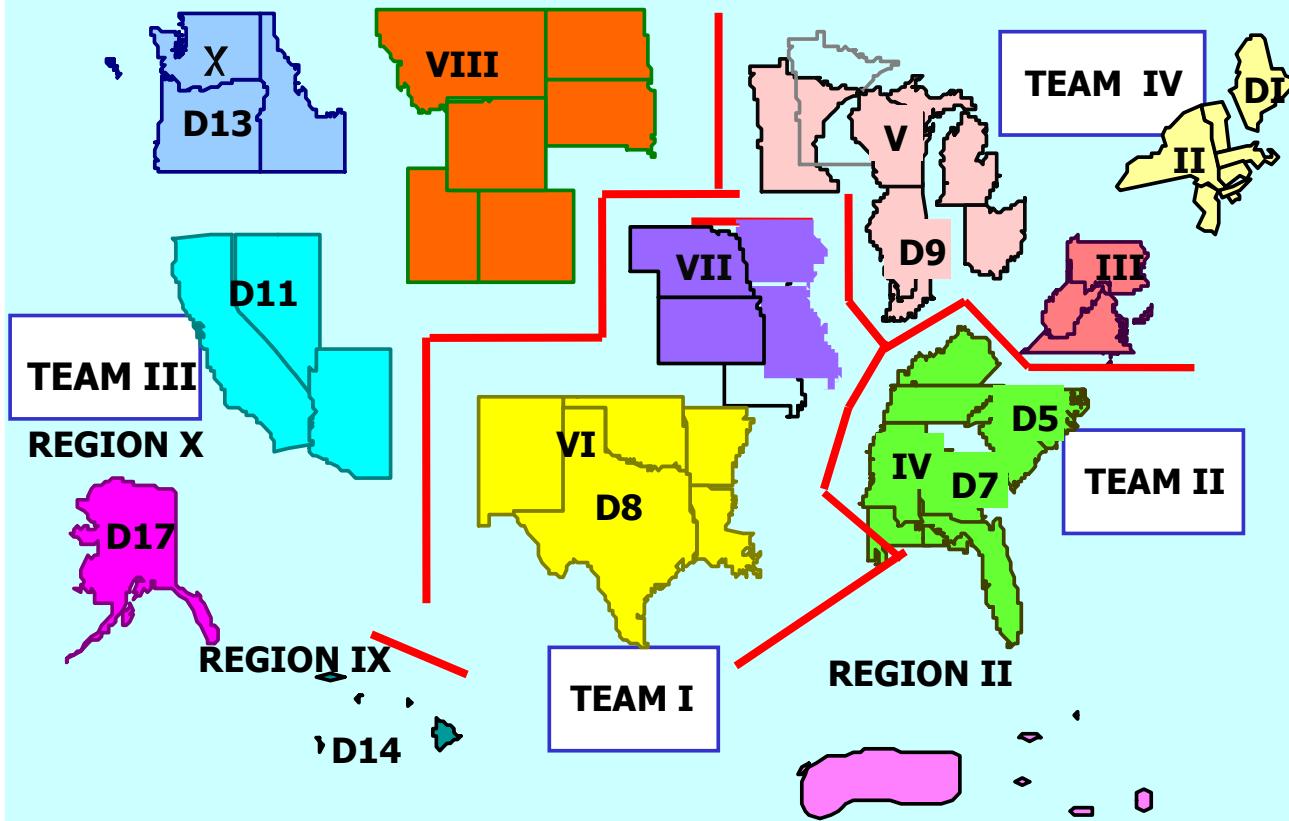
NPFC Financial Management

Training (www.uscg.mil/hq/npfc)



NPFC Case Teams

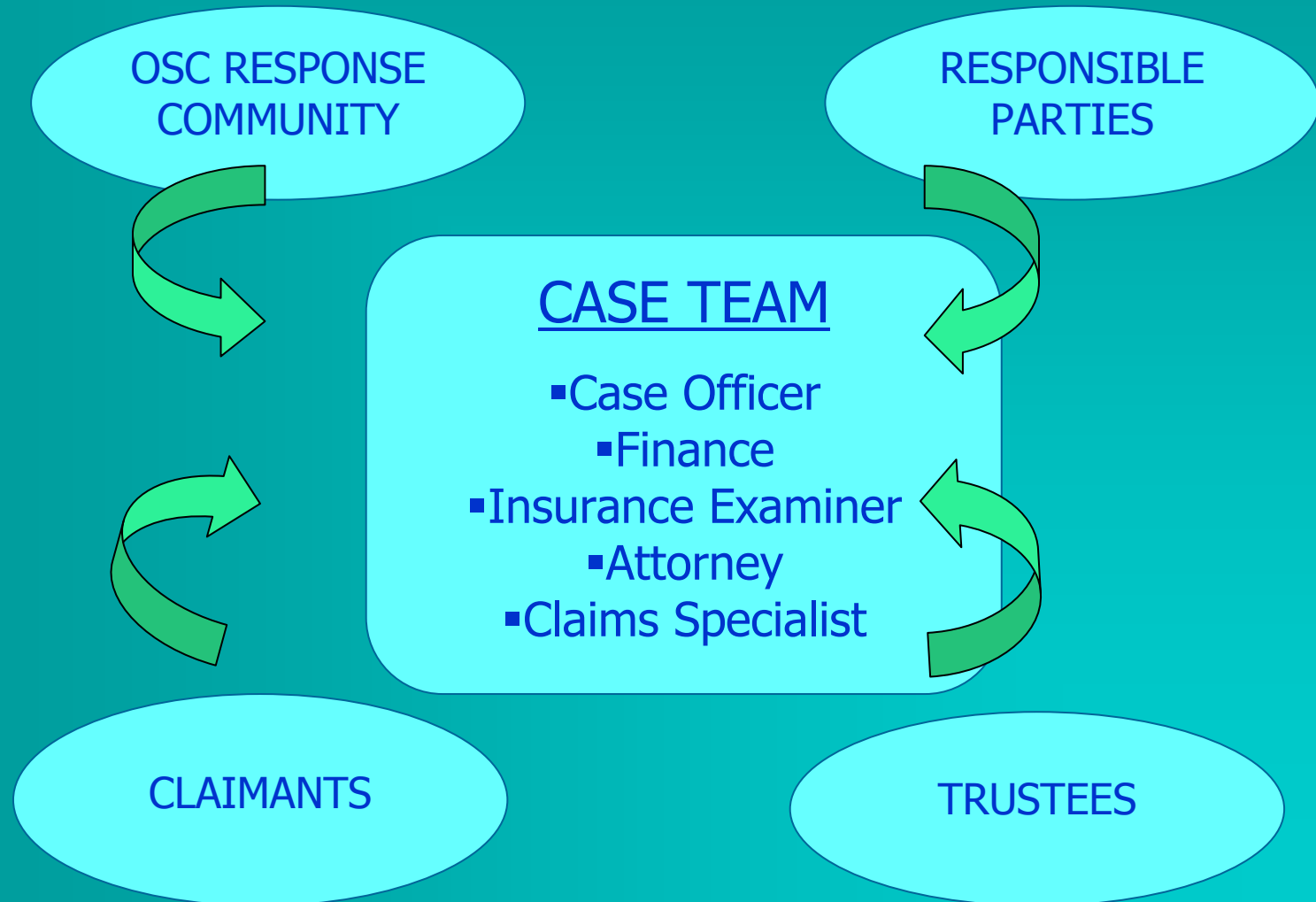
Illustrating CG Districts and EPA Regions



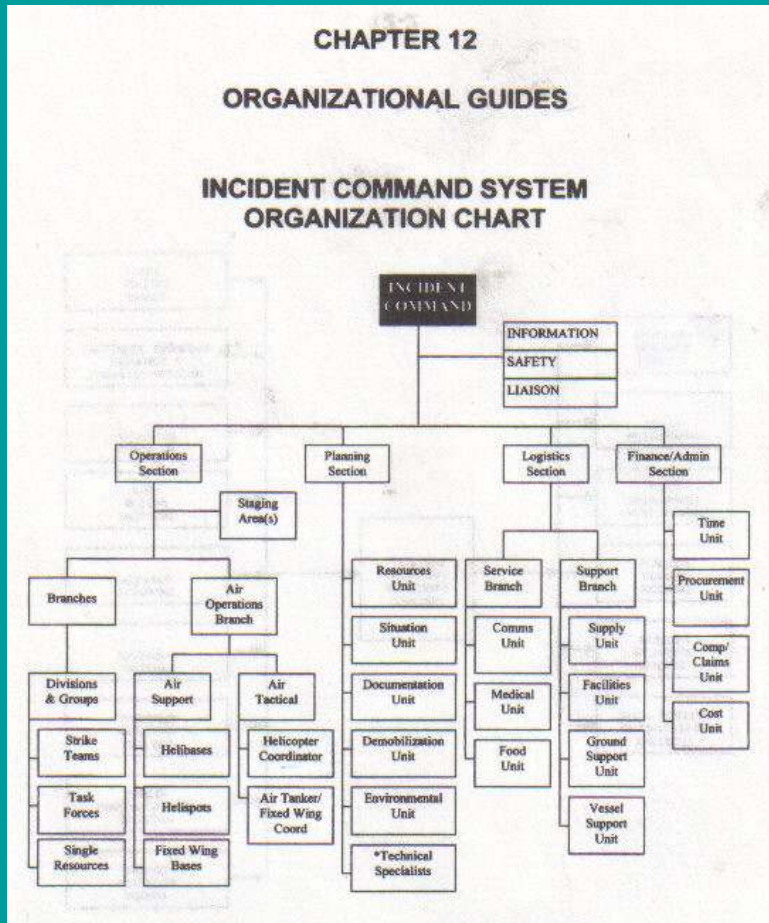
Team II contact info:

- Regional Manger
 - ◆ Miguel Bella 202/493-6743
Miguel.L.Bella@uscg.mil
- Case Officers
 - ◆ Robert Smith 202/493-6741
Robert.O.Smith@uscg.mil
 - ◆ LCDR Tangel Hummons 202/493-????
- General number 202/493-6700
- Fax Number 202/493-6896

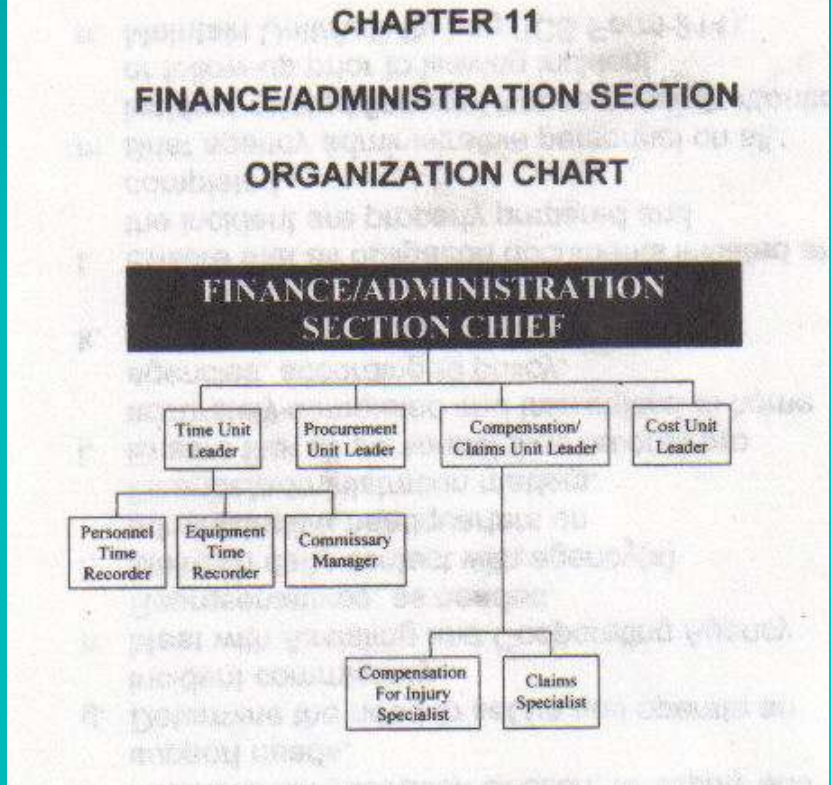
Case Team and its Customers



ICS/UCS & Financial Mgmt.



APRIL, 2001



Purpose of Financial Management

- Access correct Fund
- Personnel/resources
- Cost recovery
- Timely payment
- ***Audit trail***



FOSC Responsibility

- ◆ Required of the FOSC by:
 - ◆ 40 CFR 300.160
 - ◆ *“During all phases of response, the lead agency shall complete and maintain **documentation to support all actions** taken under the NCP and to form the basis for “Cost Recovery”.*

National Contingency Plan (NCP)

- Phase 1 - Discovery & Notification
- Phase 2 – Preliminary Assessment
- Phase 3 – Containment, Cleanup, & Countermeasures
- Phase 4 – Documentation & Cost Recovery

Preliminary Assessment

RP Information

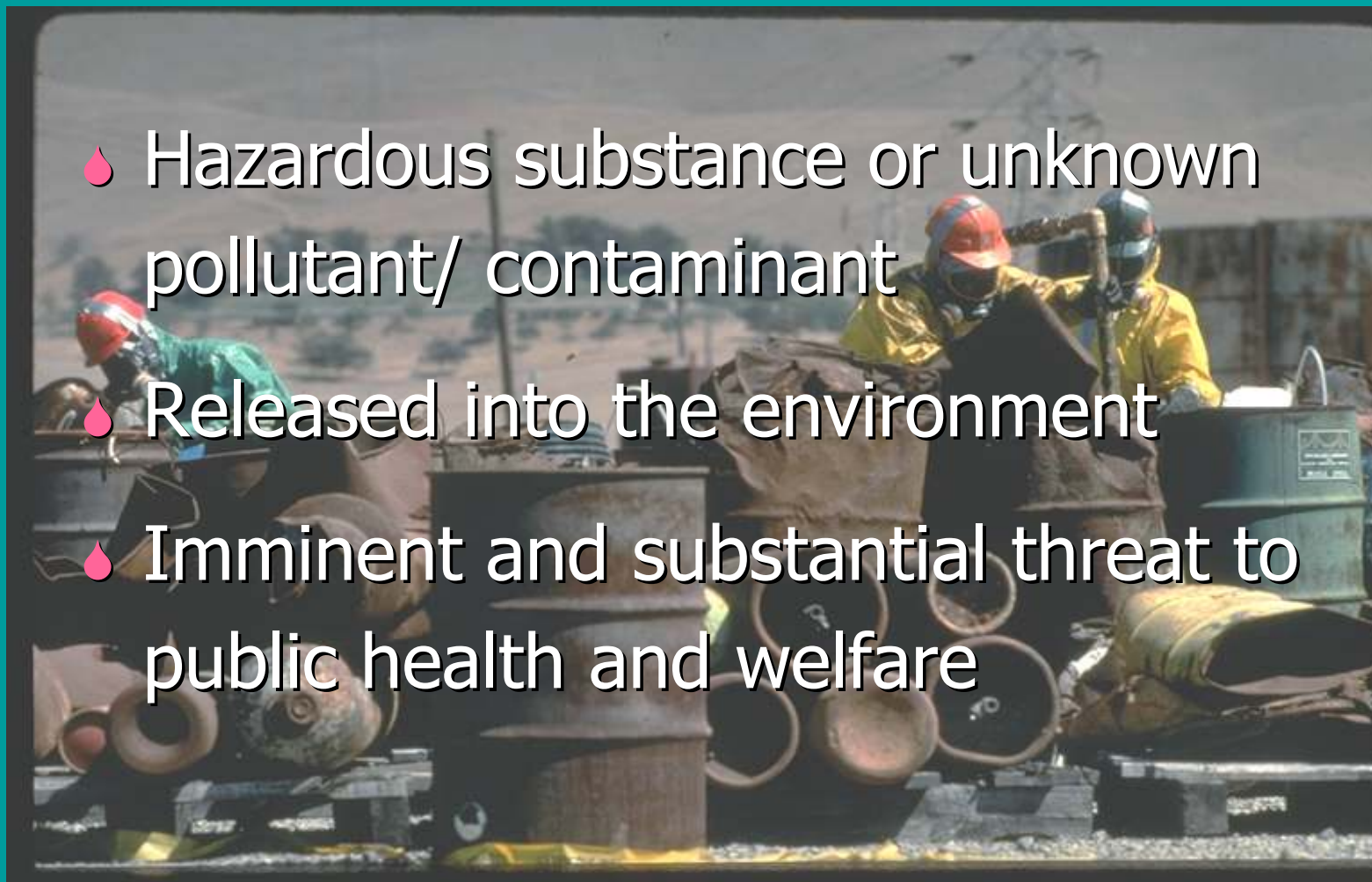
- ◆ National Vessel Documentation Center (NVDC)
- ◆ DMV – Boat Registration
- ◆ Deed and Title Search – Coordinated at NPFC
- ◆ Drivers License Number
- ◆ Social Security Number
- ◆ Phone Numbers
- ◆ Addresses
- ◆ License Plate Number & Make of RP's Vehicle
- ◆ Auto-Track at NPFC (for individuals & companies)
- ◆ Other sources.....?
- ◆ Insurance Information (primarily for vessel cases)
 - ↳ Federal Debt Priority Statue (31 USC 3713)

Does OSLTF Apply?

- Actual or substantial threat of a discharge
- OPA oil?
- Navigable waters



Does CERCLA Apply?



- Hazardous substance or unknown pollutant/ contaminant
- Released into the environment
- Imminent and substantial threat to public health and welfare

Funding

- When an incident is identified, use CANAPS to obtain:
 - A Federal Project Number (FPN) when using the Oil Spill Liability Trust Fund (OSLTF) for oil spills*
 - A Comprehensive Environmental Response, Compensation, & Liability Act (CERCLA) Project Number (CPN) for hazardous substance spills*

Overall View of Daily Costs

M/V Selendang Ayu										Daily Summary	
This period		04/01/2005	Thru		05/02/2005		FPN:	J05003		Printed:	06/17/2005 11:31
							Ceiling:	1,000,000.00			
							Prev-Period:	0.00			
							This-Period:	368,720.75			
							Balance:	631,279.25			
DAY	DATE	USCG Personnel	USCG Boats/Cutters	USCG Aircraft	USCG Equipment	USCG Vehicle	USCG Purchases	USCG Travel Cost	Contractor(s) Totals	P.R.F.A. Totals	Daily Totals
Day 1	01-Apr	2,712.00	3,956.00	30,685.00	144.00	135.60	12,852.00	18,236.15	275,000.00	25,000.00	368,720.75
Day 2	02-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 3	03-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 4	04-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 5	05-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 6	06-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 7	07-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 8	09-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 9	10-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 10	11-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 11	12-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 12	13-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 13	14-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 14	15-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 15	16-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 16	17-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 17	18-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 18	19-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 19	20-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 20	21-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 21	22-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 22	23-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 23	24-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 24	25-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 25	26-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 26	27-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 27	28-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 28	29-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 29	30-Apr	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 30	01-May	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Day 31	02-May	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL		2,712.00	3,956.00	30,685.00	144.00	135.60	12,852.00	18,236.15	275,000.00	25,000.00	368,720.75

MSL Report & Cost Sheet

Oil Sample Analysis Report

Marine Safety Office Valdez
Case / Activity Number 1870979

Marine Safety Laboratory
Case Number 03-132



United States Coast Guard
Marine Safety Laboratory

Oil Spill Identification Analysis
Cost Recovery Documentation

Laboratory Case Number:	03-132
Requestor:	Marine Safety Office Valdez
Unit Case/Activity Number:	1870979
Number of Samples:	10
Cost Per Sample Prepared:	\$20.00
Total Costs of Sample Preparation:	\$200.00
Number of Analysis:	21
Cost Per Sample Prepared:	\$86.00
Total Costs for Analysis:	\$1,806.00
TOTAL COSTS:	\$2,006.00

This documentation is provided for purposes of Phase IV - Documentation and Cost Recovery under the National Oil and Hazardous Substances Pollution Contingency Plan (40 CFR Part 300)

Signature:  Date: 19 Aug 03

FOSC Incident and Report Transmittal (IRAT)

FOSC Financial Summary Report

- The summary report **should answer:**
 - Who?
 - What?
 - Why?
 - Where?
 - When?
 - How much?
- This report **should also:**
 - Be Understandable
 - Be Accurate
 - Be Consistent
 - Capture All Costs
 - **Be Audit Proof**

NPFC Cost Recovery

- FOSC provides a completion report (cost documentation, POLREPS, investigation report/identification of the RP)
- Completion report provided ***120 days after cleanup complete*** or interim reports @ 30 day intervals on long-term removals

Documentation & Cost Recovery

- NPFC functions
 - ↳ Scrub cost documentation
 - ↳ Initiate bills
 - ↳ Close mystery spills
- Typical results
 - ↳ Full payment
 - ↳ Negotiated settlement
 - ↳ DOJ or DMS



*United States Treasury
Offices & Bureaus*



United States
Department of
Justice

Thank You!

Any questions?